

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

2019 APR 15 PM 12:01

FORM B

Name: <u>Jon Phillip Spiers</u>		Daytime Telephone: _____
FILER STATUS	New Member of or Candidate for U.S. House of Representatives	State: <u>Texas</u> District: <u>CD 2</u>
	Candidates – Date of Election: <u>6 Nov 2018</u>	<input type="checkbox"/> Check if Amendment
	New Officer or Employee	Staff Filer Type (if Applicable): <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
	Employing Office: _____	Period Covered: <u>January 1, 2017</u> to <u>January 30, 2018</u>
<p><i>U</i> (Office Use Only)</p> <p>A \$200 penalty shall be assessed against any individual who files more than 30 days late.</p>		

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:	
a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/>	
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	
c. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	
d. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	
Yes	<input checked="" type="checkbox"/>
No	<input type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <input checked="" type="checkbox"/>	
F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input checked="" type="checkbox"/>	
G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? <input checked="" type="checkbox"/>	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	
Yes	<input type="checkbox"/>
No	<input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	
Yes	<input checked="" type="checkbox"/>
No	<input type="checkbox"/>

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Jon Phillip Spiers

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BLOCK A												BLOCK B												BLOCK C												BLOCK D											
Assets and/or Income Sources												Value of Asset												Type of Income												Amount of Income											
<p>Identify (a) each asset held for investment or indicate value of asset at close of the reporting period, if you produced an income and with a fair market value use a valuation method other than fair market value, please specify the method used; and (b) any other reportable asset or source of income which generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in this account that exceeds the reporting thresholds.</p>												<p>If you produced an income and with a fair market value use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be “None”.</p>												<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the “None” column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, Interest, and “Tax-Deferred” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if no income was earned or generated.</p>												<p>Check all columns that apply. For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, Interest, and “Tax-Deferred” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if no income was earned or generated.</p>											
<p>For brief and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p>												<p>For real and other real property held for investment, provide a complete address or description, e.g. “rental property”, and a city and state.</p>												<p>“Column M is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>“Column N is for assets held by your spouse or dependent child in which you have no interest.</p>											
A	B	C	D	E	F	G	H	I	J	K	L	M	I	J	K	L	M	I	J	K	L	M	I	J	K	L	M																				
<p>\$1-\$1,000</p>												<p>\$1-\$1,000</p>												<p>None</p>												<p>None</p>											
<p>\$1,001-\$15,000</p>												<p>\$1,001-\$15,000</p>												<p>None</p>												<p>None</p>											
<p>\$15,001-\$50,000</p>												<p>\$15,001-\$50,000</p>												<p>None</p>												<p>None</p>											
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<p>Over \$50,000,000</p>												<p>Over \$50,000,000</p>												<p>None</p>												<p>None</p>											
<p>Spouse/DC Asset over \$1,000,000*</p>												<p>Spouse/DC Asset over \$1,000,000*</p>												<p>None</p>												<p>None</p>											
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<p>CAPITAL GAINS</p>												<p>CAPITAL GAINS</p>												<p>None</p>												<p>None</p>											
<p>EXCEPTED/BLIND TRUST</p>												<p>EXCEPTED/BLIND TRUST</p>												<p>None</p>												<p>None</p>											
<p>TAX-DEFERRED</p>												<p>TAX-DEFERRED</p>												<p>None</p>												<p>None</p>											
<p>Other Type of Income (Specify: e.g. Partnership Income or Farm Income)</p>												<p>Other Type of Income (Specify: e.g. Partnership Income or Farm Income)</p>												<p>None</p>												<p>None</p>											
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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Jon Phillip Spiers

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Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

Name: Jon Phillip Spiers

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and their spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,65. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, directors' fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
ABC Trade Association, Baltimore, MD (July 15)	Honorarium	\$0	\$1,500
State of Maryland	Salary	\$2,000	\$70,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$0	\$1,000
Ontario County Board of Education	Spouse Salary	N/A	N/A
Spiers Group PLLC	Salary	\$25,000	\$25,000
Spiers Group PLLC	Spouse Salary	\$25,000	\$25,000

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Jon Phillip Spiers

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. **Column K** is for liabilities held solely by your spouse or dependent child.

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new attendees report positions held in the current calendar year and two previous years.

Position	Name of Organization
Partner	Phoenix Group LLC, Cheyenne Wyoming
Principal	Spiers Group PLLC, Houston Texas
Representative	Cortechsys LLC, Houston, Texas
President	Velocity Bioscience LLC, Houston, Texas

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
12/2017	Spiers Group PLLC	Unpaid Leave of Absence if Elected

SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Example:	Source (Name and City/State)	Brief Description of Duties
	Does Jones & Smith, Hometown, Homestate	Accounting Services
	Confidential per TX Bar Rules	Confidential Legal Services